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NOTICE OF ALLOWANCE AND FEE(S) DUE

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02/20/2004

LEYDIG VOIT & MAYER, LTD TWO PRUDENTIAL PLAZA, SUITE 4900 180 NORTH STETSON AVENUE CHICAGO, IL 60601-6780

EXAM	IINER
WEBER	t, JON P
ART UNIT	PAPER NUMBER

1651 DATE MAILED: 02/20/2004

ſ	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
•	09/807,361	07/16/2001	Karl Ransberger	210445	9189

TITLE OF INVENTION: INFLUENCING HYPERACTIVE T CELLS BY PROTEOLYTIC ENZYMES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	05/20/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000

or Fax

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annropriate All further corr	espondence including the F elow or directed otherwise	Patent advance order	rs and notification	of maintenance fees v	ired). Blocks I through 4 s will be mailed to the current ; and/or (b) indicating a sepa	correspondence address as
CURRENT CORRESPONDENCE	E ADDRESS (Note: Legibly mark-up	with any corrections or use	e Block 1)	Fee(s) Transmittal. Th	mailing can only be used for nis certificate cannot be used al paper, such as an assignment	for any other accompanying
23460 75	90 02/20/2004			have its own certificat	e of mailing or transmission.	on or rounar araming, mas
LEYDIG VOIT & TWO PRUDENTIA 180 NORTH STET	AL PLAZA, SUITE 49	00		Ce I hereby certify that the States Postal Service	rtificate of Mailing or Trans his Fee(s) Transmittal is bein with sufficient postage for fir il Stop ISSUE FEE address	mission g deposited with the United st class mail in an envelope
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J						(Depositor's name)
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APPLICATION NO.	FILING DATE	FIF	RST NAMED INVE	TOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/807,361	07/16/2001		Karl Ransberge	·	210445	9189
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nonprovisional	NO	\$1330		\$0	\$1330	05/20/2004
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CFR 1.363). ☐ Change of corresponde Address form PTO/SB/12 ☐ "Fee Address" indication	nce address (or Change of C	Correspondence	names of up to agents OR, altern firm (having as a agent) and the na	a the patent front page, 3 registered patent a natively, (2) the name a member a registered ames of up to 2 regist tts. If no name is liste	of a single attorney or 2	
3. ASSIGNEE NAME AND PLEASE NOTE: Unless been previously submittee (A) NAME OF ASSIGNE	an assignee is identified bel d to the USPTO or is being s	low, no assignee data submitted under separ	a will appear on the	e patent. Inclusion of a	ssignee data is only appropri T a substitute for filing an ass UNTRY)	ate when an assignment has ignment.
Please check the appropriate			•	individual 🔾	corporation or other private gr	roup entity
4a. The following fee(s) are	enclosed:		Payment of Fee(s):	nount of the fee(s) is en	rlosed	
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(Authorized Signature)		(Date)		,		
NOTE; The Issue Fee and other than the applicant; interest as shown by the red	Publication Fee (if require a registered attorney or agr cords of the United States Pa	ed) will not be acce ent; or the assignee atent and Trademark	pted from anyone or other party in Office.	1		
estimated to take 12 minut completed application for case. Any comments on suggestions for reducing the Patent and Trademark (22313-1450. DO NOT S SEND TO: Commissioner	Diffice, U.S. Department of END FEES OR COMPLE for Patents, Alexandria, Virguistion Act of 1995, no. 1	athering, preparing, a ll vary depending up require to complete to the Chief Informa of Commerce, Ale TED FORMS TO ginia 22313-1450.	and submitting the pon the individual this form and/or ation Officer, U.S. exandria, Virginia THIS ADDRESS.			
collection of information u	nless it displays a valid OM	B control number.		I		



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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TWO PRUDENTIA	AL PLAZA, SUITE 4900 SON AVENUE		ART UNIT	PAPER NUMBER
CHICAGO, IL 60601-6780			1651	

DATE MAILED: 02/20/2004

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)
	••	
∼Notice of Allowability	09/807,361 Examiner	RANSBERGER ET AL. Art Unit
,		
	Jon P Weber, Ph.D.	1651
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this a or other appropriate communicati GHTS. This application is subject	application. If not included on will be mailed in due course. THIS
1. This communication is responsive to <u>29 December 2003</u> .		
2. The allowed claim(s) is/are <u>9,11,13-19,21 and 23-28</u> .		\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.
3. \boxtimes The drawings filed on <u>12 April 2001</u> are accepted by the Ex	caminer.	
 4. ☐ Acknowledgment is made of a claim for foreign priority una a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submit 	been received. been received in Application No. cuments have been received in thi of this communication to file a rep ENT of this application.	is national stage application from the
INFORMAL PATENT APPLICATION (PTO-152) which give		
6. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.	•
(a) including changes required by the Notice of Draftspers	· · · · · · · · · · · · · · · · · · ·	O-948) attached
1) hereto or 2) to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the	e Office action of
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in t		
7. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT I		
Attachment(s) 1. Notice of References Cited (PTO-892) 2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview Summa	
3. Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date	Paper No./Mail D 8), 7. ⊠ Examiner's Amen	dment/Comment
4. Examiner's Comment Regarding Requirement for Deposit	8. X Examiner's Stater	ment of Reasons for Allowance
of Biological Material	9.	
		Jon P Weber, Ph.D. Primary Examiner Art Unit: 1651

Application/Control Number: 09/807,361

Art Unit: 1651

Status of the Claims

The response with amendments filed 29 December 2003 has been received and entered. Claims 9, 11, 13-19, 21 and 23-28 have been presented for examination.

Examiner's Amendment

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with John Kilyk on 19 February 2004.

The application has been amended as follows:

IN THE CLAIMS:

In claim 14, at line 4, after "organism" insert -- rutoside, --.

In claims 16 and 17, at lines 1-4, replace "the method ... organism" with -- the organism is administered --; at line 4, after "papain" insert -- , 10 to 50 mg trypsin --.

Claims 9, 11, 13-19, 21 and 23-28 are allowed.

The following is an examiner's statement of reasons for allowance: The relied upon art recites treating specific diseases which may coincidentally the same as those that can be treated instantly by administering the same proteases but does not disclose or reasonably suggest that

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administering these proteases will reduce the activity of hyperactive T-cells either alone or in combination.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jon P Weber, Ph.D. whose telephone number is 571-272-0925. The examiner can normally be reached on daily, off 1st Fri, 9/5/4.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Wityshyn can be reached on 571-272-0926. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9107 (MI-free)

Jon P Weber, Ph.D. Primary Examiner Art Unit 1651

JPW 19 February 2004